

# Preferences and Equilibria in History Based Models

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# Today's Plan

1. Motivation
  2. The Model
  3. Introducing Preferences
  4. Updating Preferences
- References

A new dynamic model to  
reason about histories and their changes!

# Motivation

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# History Based Models

History based structures, first proposed by Parikh and Ramanujam (Parikh & Ramanujam, 2003), suggest a formal framework that lies between process models and propositional dynamic logic.

Epistemic and temporal reasoning in such models depends on sequences of events, called *histories*.

History based structures have successfully been used to model epistemic messages and communication between agents using a rather dynamic approach, and deontic obligations (Pacuit, 2007; Pacuit *et al.*, 2006; Parikh & Ramanujam, 2003).

Furthermore, they are technically similar to interpreted systems (Fagin *et al.*, 1995; Pacuit, 2007).

# The Model

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History based structures are constructed by using a fix set of events  $\mathbf{E}$  and agents  $\mathbf{A}$ . For each agent  $i$ ,  $\mathbf{E}_i \subseteq \mathbf{E}$  is the set of events which are “seen” or “accessible” by the agent  $i$ . A finite sequence of events  $h$  drawn from a set of events  $\mathbf{E}$  is called a *history* over  $\mathbf{E}$ .

A sequence  $h$  is a local history for agent  $i$ , if it is a finite history over the local event set  $\mathbf{E}_i$ . A word  $H$  is a global history, if it is a (possibly infinite) history over the global event set  $\mathbf{E}$ .

Let  $\mathcal{H}_{\mathbf{E}}^{\text{fin}}$  be the set of all finite histories for a set of events  $\mathbf{E}$ . For any set of histories  $\mathcal{H}$ , the set  $\text{FinPre}(\mathcal{H})$  denotes the set of finite prefixes of the histories in  $\mathcal{H}$ .

Let  $i$  be an agent, and  $\mathcal{H}$  be a set of histories. A function  $\lambda_i : \text{FinPre}(\mathcal{H}) \rightarrow \mathcal{H}_{E_i}^{\text{fin}}$  is an epistemic locality function for agent  $i$ , in history  $\mathcal{H}$ .

Let  $i$  be an agent, and let  $\lambda_i$  be its locality function. Histories  $h$  and  $h'$  are indistinguishable for agent  $i$ , written  $h \sim_i h'$ , if and only if  $h$  and  $h'$  are finite histories, and  $\lambda_i(h) = \lambda_i(h')$ .



# Syntax and Models

Given a set of propositional variables  $\mathbf{P}$ , we define the syntax of history based structures in the Backus - Naur form as follows.

$$\varphi := p \mid \neg\varphi \mid \varphi \wedge \varphi \mid K_i\varphi \mid \bigcirc\varphi \mid \varphi U\varphi$$

where  $p \in \mathbf{P}$ ,  $i \in \mathbf{A}$ . The knowledge operator for agent  $i$  is denoted by  $K_i$  and the temporal next-time operator is denoted by  $\bigcirc$ . We call  $U$  the *until operator*.

A tuple  $M = \{\mathbf{E}, \mathcal{H}, \mathbf{A}, \mathbf{E}_1, \dots, \mathbf{E}_n, \lambda_1, \dots, \lambda_n, V\}$  is a history-based model where  $\mathbf{E}$  is a global set of events,  $\mathcal{H} \subseteq \mathcal{H}_{\mathbf{E}}$  is a protocol,  $\mathbf{A}$  is a set of agents, for each agent  $i \in \mathbf{A}$ ,  $\mathbf{E}_i$  and  $\lambda_i$  are  $i$ 's local event set and locality function, and  $V$  is a valuation function.

We give the semantics as follows.

$H, t \models_M p$	<i>iff</i>	$H_t \in V(p),$
$H, t \models_M \neg\varphi$	<i>iff</i>	$H, t \not\models_M \varphi,$
$H, t \models_M \varphi \wedge \psi$	<i>iff</i>	$H, t \models_M \varphi$ and $H, t \models_M \psi,$
$H, t \models_M \bigcirc\varphi$	<i>iff</i>	$H, t+1 \models_M \varphi,$
$H, t \models_M K_i\varphi$	<i>iff</i>	for all $H' \in \mathcal{H}, H_t \sim_i H'_t$ implies $H', t \models_M \varphi,$
$H, t \models_M \varphi U \psi$	<i>iff</i>	there exists $t \leq k$ such that $H, k \models_M \psi$ and, for all $l, t \leq l < k$ implies $H, l \models_M \varphi.$

# Axioms

The axioms for history based models are given as follows.

- All tautologies of propositional logic,
- $K_i(\varphi \rightarrow \psi) \rightarrow (K_i\varphi \rightarrow K_i\psi)$ ,
- $K_i\varphi \rightarrow \varphi \wedge K_iK_i\varphi$ ,
- $\neg K_i\varphi \rightarrow K_i\neg K_i\varphi$ ,
- $\bigcirc(\varphi \rightarrow \psi) \rightarrow (\bigcirc\varphi \rightarrow \bigcirc\psi)$ ,
- $\bigcirc\neg\varphi \leftrightarrow \neg\bigcirc\varphi$ ,
- $\varphi U\psi \leftrightarrow \psi \vee (\varphi \wedge \bigcirc(\varphi U\psi))$ .

The rules of inference are modus ponens, and normalization for all the modalities:

- $\vdash \varphi, \varphi \rightarrow \psi \therefore \vdash \psi$ ,
- $\vdash \varphi \therefore \vdash K_i\varphi$ ,
- $\vdash \varphi \therefore \vdash \bigcirc\varphi$ ,
- $\vdash \varphi \rightarrow (\neg\psi \wedge \bigcirc\varphi) \therefore \vdash \varphi \rightarrow \neg(\varphi' U\psi)$ .

# Introducing Preferences

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We amend the syntax of the logic of history based models with the modal operator  $\diamond_i\varphi$  which denotes that there is a history which is at least as good as the current one and satisfies  $\varphi$  for agent  $i$ . We specify the semantics of this new modality as follows.

$$H, t \models \diamond_i\varphi \quad \text{iff} \quad \exists H'. H \preceq_i H' \text{ and } H', t \models \varphi$$

where the expression  $H \preceq_i H'$  denotes that “the agent  $i$  (weakly) prefers  $H'$  to  $H$ ”.

# Axioms

We take the preference modality as **S4**, and give the axiomatization of history based preference logic as follows.

- All tautologies of propositional logic,
- $K_i(\varphi \rightarrow \psi) \rightarrow (K_i\varphi \rightarrow K_i\psi)$ ,
- $K_i\varphi \rightarrow \varphi \wedge K_iK_i\varphi$ ,
- $\neg K_i\varphi \rightarrow K_i\neg K_i\varphi$ ,
- $\Box_i(\varphi \rightarrow \psi) \rightarrow (\Box_i\varphi \rightarrow \Box_i\psi)$ ,
- $\Box_i\varphi \rightarrow \varphi$ ,
- $\Box_i\varphi \rightarrow \Box_i\Box_i\varphi$ ,
- $\bigcirc(\varphi \rightarrow \psi) \rightarrow (\bigcirc\varphi \rightarrow \bigcirc\psi)$ ,
- $\bigcirc\neg\varphi \leftrightarrow \neg\bigcirc\varphi$ ,
- $\varphi U\psi \leftrightarrow \psi \vee (\varphi \wedge \bigcirc(\varphi U\psi))$ .

The rules of inference are modus ponens, and normalization for all three modalities:

- $\vdash \varphi, \varphi \rightarrow \psi \therefore \vdash \psi$ ,
- $\vdash \varphi \therefore \vdash K_i\varphi$ ,
- $\vdash \varphi \therefore \vdash \Box_i\varphi$ ,
- $\vdash \varphi \therefore \vdash \bigcirc\varphi$ ,
- $\vdash \varphi \rightarrow (\neg\psi \wedge \bigcirc\varphi) \therefore \vdash \varphi \rightarrow \neg(\varphi' U\psi)$ .

## Best Response

We define the *best response*  $BR_i$  of an agent  $i$  in a two-player game as  $BR_i = \sim_{-i} \cap \prec_i$  where  $-i$  denotes the opponent of  $i$ .

Associate a diamond-like modality  $\Delta_i$  with the relation  $BR_i$ .

Best Response	$\neg\Delta_i\top$
Nash Equilibrium	$\bigwedge_{i \in A} \neg\Delta_i\top$
Pareto Optimality	$\bigvee_{i \in A} \Delta_i\top \wedge \bigwedge_{A \setminus \{i\}} \neg\Delta_{-i}\top$

# Updating Preferences

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# Dynamic Preferences

The preference update will be carried out by a *distinguishing formula*  $\varphi$ .

Given two histories  $H, H'$ ; if  $H, t \models \varphi$  but  $H', t \models \neg\varphi$ , then we call  $\varphi$  “distinguishing formula” for  $(H, t)$  and  $(H', t)$ .

In this case, if  $H \preceq_i H'$ , after a preference update by  $\varphi$ , we will then have  $H \not\preceq_i H'$  at  $t$ .

The updated preference orders  $\preceq_i^*$  are defined as follows

$$\preceq_i^* := \preceq_i \setminus \{(H, H') : H, t \models_M \varphi \text{ and } H', t \models_M \neg\varphi \text{ for any } t\}.$$

The syntax of history based preference update logic is as expected:

$$\varphi := p \mid \neg\varphi \mid \varphi \wedge \varphi \mid K_i\varphi \mid \bigcirc\varphi \mid \varphi U\varphi \mid \diamond_i \mid [!\varphi]\varphi$$

Given a model  $M$  and a distinguishing formula  $\varphi$ , the semantics of the preference update modality is given as follows.

$$H, t \models_M [!\varphi]\psi \quad \text{iff} \quad H, t \models_{M!\varphi} \psi$$

The additional axioms for the dynamic preference update modality are given as follows.

- $[\varphi!]p \leftrightarrow p$
- $[\varphi!]\neg\psi \leftrightarrow \neg[\varphi!]\psi$
- $[\varphi!]\psi \wedge \chi \leftrightarrow [\varphi!]\psi \wedge [\varphi!]\chi$
- $[\varphi!]K_i\psi \leftrightarrow K_i[\varphi!]\psi$
- $[\varphi!]\Diamond_i\psi \leftrightarrow (\neg\varphi \wedge \Diamond_i[\varphi!]\psi) \vee \Diamond_i(\varphi \wedge [\varphi!]\psi)$

The proof rule we need is necessitation for the dynamic modality:

$\vdash [\varphi]\psi \therefore \vdash \psi$ .

We denote the history based preference update logic by HBPL\*.

## The Theorem

HBPL\* is sound and complete.

Thank you!

Come see the poster

Talk slides and the papers are available at

[CanBaskent.net/Logic](http://CanBaskent.net/Logic)

## References

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